Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-20** 

of M/s. Khawaja Securities (Pvt) Ltd

Submission Date 15-SEP-2020 17:10:26

Image: Process of the second		Subinission Date 15-5EF -2020 17.10.20		Page	1 Of 3
Assaids         Description         204-072	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
11     Proporty & Ecologian Continue     20.472       12     Instantial Action     6.200.000       13     Instantial Action     6.200.000       14     Investment in Debt Securities     1       15     Instantial Action     1       16     Instantial Action     1       17     Instantial Action     1       18     Instantial Action     1       19     Instantial Action     1       10     Instantial Action     1       11     Instantial Action     1       12     Instantial Action     1       13     Instantial Action     1       14     Instantial Action     1       15     Instantial Action     1       16     Instantial Action     1       17     Instantial Action     1       18     Instantial Action     1       19     10     1       10     Instantial Action     1       11     Instantial Action     1       <			Pak Rupee	Adjustments	Value
1         Imposed for the constraints         5.200.000           1.4         Imposed from K Good Securities         1           1.5         Imposed from K Good Securities         1           1.6         Imposed from K Good Securities         1           1.5         Imposed from K Good Securities         1           1.6         Store The balance shoet value in the case of ferume from 1.3 years         1           1.7         Imposed from K Good Securities         1           1.7         Imposed from K Good Securities         1           1.7         Imposed from K Good Securities (Formation Formation Forma	1	Assets			
13       Investment in Ooth Securities	1.1	Property & Equipment	204,972	204,972	
14       Investment in Debt. Securities	-	Intangible Assets	5,200,000	5,200,000	
If stade there:       Image: the subset of the					
IS of the balance sheet value, in the case of tenue don't system.       Image: Control of the balance sheet value, in the case of tenue don't system.         II. 25% of the balance sheet value, in the case of tenue don't system.       Image: Control of the balance sheet value, in the case of tenue don't system.         II. 15% of the balance sheet value, in the case of tenue don't system.       Image: Control of tenue system.         II. 15% of the balance sheet value, in the case of tenue don't system.       Image: Control of tenue system.         II. 15% of the balance sheet value, in the case of tenue don't system.       Image: Control of tenue system.         II. 15% of the balance sheet value, in the case of tenue don't system.       Image: Control of tenue system.         II. 15% of the balance sheet value, in the case of tenue don't system.       Image: Control of tenue system.         II. 15% of the balance sheet value, in the case of tenue don't system.       Image: Control of tenue system.         II. 15% of the balance sheet value, in the case of tenue don't system.       Image: Control of tenue system.         II. 15% of the balance sheet value, in the Control of tenue system.       Image: Control of tenue system.         II. 15% of tenue system.       Image: Control of tenue system.         II. 24% of tenue system.       Image: Control of tenue system.         II. 24% of tenue system.       Image: Control of tenue system.         II. 25% of tenue system.       Image: Control of tenue system. <t< td=""><td>1.4</td><td></td><td></td><td></td><td></td></t<>	1.4				
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In Full calified that:         Image: Second Se		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1 10% of the balance shoet value, in the case of terms port 3 year.					
II. 125% of the balance sheet value, in the case of fermus of more 1-3 yeas.       III. 125% of the balance sheet value, in the case of fermus of more shares.         III. The definition of the value in the case of fermus of more shares.       III. 15%         III. The definition of the value in the case of fermus of more shares.       III. 15%         III. The definition of case yards of a share case of the cateford det as computed by the Securities Exchange for       III. 55%         III. SUB-case in the cateford deta as computed by the Securities Exchange for       III. 51%         III. SUB-case in the cateford deta as computed by the Securities Exchange for an of the cateford deta as underplot on more yards and the investments of a scutture like investment of a scutture in the cateford deta as computed by the Securities where the scatter in the Case of The structure of the scatter is investment in a scatter investment in a scatter where the scatter is investment in scatter investment in the scatter investment in a scatter investment in a scatter investment in the scatter is investment in scatter investment in scatter investment in scatter is investment in scatter investment in scatter is investment in scatter inve					
II. 15% of the balance sheet value, in the case of term of more than 3 years.         II.           II. Investment in Figuly Securities on the cutoff date as computed by the Securities Exchange for expected of the securities outline with the exchange securities with a value.         19.158.237           II. Turnistical 100 not carring value of investment in any asset including shares of listed securities with a value.         19.158.233         19.158.233           II. Turnistical 100 not carring value of investment in any asset including shares of listed securities which as the share in or bleng altest as an enclincided and so shore plane discusses.         19.158.233         19.158.233           II. Turnistical 100 not carring value of investment in any asset including shares of listed securities which as the share of the explicit of a value of investment in the explicit of value value of investment in securities which as the share of the securities share of the securities which as the securities share of the secure securities share of the secure securities share of the secure s					
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is gractice securities which one's higher.         18,158,233         18,158,233           iii function (JNR) of carrying value.         18,158,233         18,158,233           iii Subscription money against invoktion in PODITor for Sale: Amount paid as subscription money against line (JNR) of carrying value.         18,158,233           iv 1005 History Sale (JNR) of carrying value of timestment in any asset including starse of listod securities which are Pledged that 100 finatory starse of Classice securities which are Pledged in favor of Sack Exchange (Classing House against Magin Financing requirements or pledged in favor of Sack Exchange (Classing House against Magin Financing requirements or pledged in favor of Sack Exchange (Classing House against Magin Financing requirements or pledged in favor of Sack Exchange (Classing House against Magin Financing requirements or pledged in favor of Sack Exchange (Classing House against Magin Financing requirements or pledged in favor of Sack Exchange (Classing House accenties Sachange for respective securites which were the securities in the securities in the carrying the securities in the securities	1.5				
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powled that share have not been alloted or are not included in the investments of socutities broker.			19,158,233	19,158,233	
N100% Halicut shall be applied to Value of Investment In any asset including shares of Risted securities that are in Block, Freez of Pideg status as on exporting data. (July 19, 2017)       Provided that 100% halicut shall not be applied in case of investment In those soculties which are predged in fravor of Stock Schenger (Chean Margin Financhig requirements by applicable). (July 19, 2017)         1-1       Investment in associated companies/underfaking       Investment in associated companies/underfaking         1-2       Investment in associated companies/underfaking       Investment in associated companies/underfaking         1-1       Investment in associated companies/underfaking       430,000         1-2       Investment in associated companies/underfaking       430,000         1-3       Statutory or regulatory deposits/acid deposits with the exchanges, clearing house or central depository       430,000         1-4       Deposit with authorized intermediav against borowed securities institutions or debt securities       1,858,916         1-10       Inter deposits and prepariments       1,858,916       1,858,916         1-11       Other deposits and prepariments       1,858,916       1,858,916         1-12       Accound Interescience, subsidiaries and other related parties       1,858,916       1,858,916         1-13       Daddensice Reportments, or delates, subsidiaries and other related parties       1,858,916       1,858,916       1,858,916       1,858,916       1,858,9					
Itat are in Block, Freeze of Pledge status as on reporting date (July 19, 2017)       Itat are in Block, Freeze of Pledge status as on reporting date (July 19, 2017)         Predged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing)       Ital         16       Interview of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing)       Ital         17       Investment in associated companies/buildefiaking       Ital         18       Istatus of Stock Exchange (Against Stock					
Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short term financing arrangements. In such cases, the haircut as provided in schedule ill of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)           10         Investment in associated companies undertaiking Unified 20% of Var of each securities as computed by the Securites Exchange for respective securities whichever is higher.         —           11         Bit add 20% of Var of each securities as computed by the Securites Exchange for respective securities whichever is higher.         —           12         If it finited, 100% of net value.         4.050,000         4.050,000           13         Statutoy or regulatory depositioasic deposits with the exchanges, clearing house or central depository drary deposits and regramments.         4.050,000         —           11         Other deposits and regramments.         1.058,916         1.058,916         .           12         Divident for mark-up on amounts placed with financial institutions or debt securities etc.(NI).         1.058,916         1.058,916         .           13         Divident seceivable against Export frank-up on amounts placed with financial institutions or debt securities etc.(NI).         .         .         .         .           13         Divident seceivable against Kep0 financing.         .         .         .         .           14         Amounts receivab		that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
in fawo of Banks against Short Term financing arrangements. In such cases, the haizcut as provided in the sequentiations in respect of investment in sucutities shall be applicable (August 25, 2017) <ul> <li>Investment In associated companies/undertaking</li> <li>Investment In associated companies/undertaking</li> <li>If its add 20% or VaR of each securities accomputed by the Securities Exchange for respective securities</li> <li>Investment In associated companies/undertaking</li> <li>If funitised, 10% of net value.</li> <li>If funitised, 10% of each securities with the exchanges, clearing house or central depository</li> <li>Margin deposito with exchange and clearing house.</li> <li>4050,000</li> <li>Margin deposito with exchange and clearing house.</li> <li>4050,000</li> <li>Io Deposito with authorized intermediary agains borrowed securities under \$1.6.</li> <li>Io Deposito latterest, prift or mark-up on amounts placed with financial institutions or debt securities</li> <li>Io Deposito latterest, prift or mark-up on amounts placed with financial institutions or debt securities</li> <li>Io Deposito latterest, prift or mark-up on amounts placed with financial institutions or debt securities</li> <li>Io Dividend's receivables</li> <li>Io Dividend's receivables</li> <li>Io Dividend's receivables</li> <li>Integration in the provident in the investments.</li> <li>Integration in the provident in the investments.</li> <li>Integration in the provident is a securities in all markets including of securities in all markets including MM gains.</li> <li>Integration of employees. Loans are secured and and Due for repayment within 12 months</li> <li>Integration of employees. Loans are secured and applying Value Securities in all markets including MM gains.</li> <li>Integratis controlis trading of securities in all markets including MM gai</li></ul>					
schedule III of the Regulations in respect of Tirvestment in useduities shall be applicable (August 25, 2017)         Image: Comparison of					
1.7       Investment in associated companies/underfaking					
If listed 20% or VaR of each securities accomputed by the Securites Exchange for respective securities		Investment in subsidiaries			
whichevers is higher.         iii function           18         Istatutory or regulatory depositisatic deposits with the exchanges, clearing house or central depository or any other entity.         430,000         430,000           19         Margin deposits with exchange and clearing house.         4,060,000         0         4,050,000           10         Depositivith authorized intermediary against borowed securities under SLB.         1,005,000         0         4,050,000           110         Check of interset, profit or mark-up on amounts placed with financial institutions or debt securities         1,868,916         1,858,916           112         Accruad interest, profit or mark-up on amounts placed with financial institutions or debt securities         1,868,916         1,900,000           113         Dividends receivables         1,900,000         4,050,000         4,050,000           114         Amount paid as purchaser under the RFO agreement. (Securities purchased under repo arrangement photo is placed with the intertime the second of the intertime the second of the intertime the included in the intertime the second of the intertime the include of the intertime the included of the intertime the include of securities in all markets including of securities in all markets including intertime.         1,161,162,162,172,00         1,812,057,00         1,812,057,00         1,812,057,00         1,812,057,00         1,812,057,00	1.7				
II. If unlisted, 100% of net value.         430,000           18. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository         430,000           19. Margin deposits with exchange and clearing house.         4,050,000         0           110. Deposit with authorized intermediary against borrowed securities under SLB.         0         0           111. Other deposits and preparyments         1,858,916         1,858,916           112. Cher deposits and preparyments         1,858,916         0           113. Dividends receivables.         1,858,916         0           113. Dividends receivables.         0         0           113. Dividends receivables.         0         0           114. Amounts receivables.         0         0           115. E. Shoft Ferm Loans To Explore the RPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).         0         0           116. Receivables from Clearing house or securities exchange(S)         0         0         0           116. Note than trade receivables         0         0         0           116. Note than trade receivables         0         0         0           116. Note than trade receivables         0         0         0           117. Receivables from Clearing house or securities scha					
or any other entity,     0     0     0       19     Mergin deposits with exchange and clearing house.     4.050.000     0     4.050.000       110     Deposit with authorized intermediary against borrowed securities under SLB.     1.858.916     0     0       111     Other deposits and prepayments     1.858.916     1.858.916     0       112     Caccued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII)     0     0     0       113     Dividendis receivables.     0     0     0       114     Amounts receivable against Repo financing, Amounts receivable against Repo financing.     0     0       115     Issing therm Coans or as are Secured and Due for repayment within 12 months     0     0       115     Issing other than trade receivables     0     0     1.812.057     0       116     Receivables from clearing house or securities in all markets including MtM gains.     1.812.057     0     1.812.057       117     Receivables from customers     0     0     0     0     0       118     Is are receivables are against trading of securities in all markets including MtM gains.     1.812.057     0     1.812.057       118     Receivables from customers     1     1     1.810.057     0     1.812.057					
19       Margin deposits with exchange and clearing house.       4,050,000       0       4,050,000         110       Deposit with authorized intermediate gaginst borowed securities under SLB.       1       1         111       Other deposits and prepayments       1,858,916       1,858,916         112       Accured Interest profit or mak-up on amounts placed with financial institutions or debt securities       1       1         110       Dividends receivables       1 <td< td=""><td>1.8</td><td></td><td>430,000</td><td>430,000</td><td></td></td<>	1.8		430,000	430,000	
1.10       Deposit with authorized intermediary against borowed securities under SLB.	19	or any other entity. Margin deposits with exchange and clearing house	4 050 000	0	4 050 000
1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities <ul> <li>and the securities of the securities</li></ul>		Deposit with authorized intermediary against borrowed securities under SLB.			.,000,000
etc.(Nil)       etc.(Nil)         100% inrespect of markup accrued on loans to directors, subsidiaries and other related parties			1,858,916	1,858,916	
1.13       Dividends receivables       Image: Construct Section 2014 (Construction 2014)         1.14       Amounts receivable against Repo financing. Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).         1.15       I. Short term Loan 10 Employees: Loans are Secured and Due for repayment within 12 months         II.       Receivables form clearing house or securities exchange(s)         1.16       Receivables form clearing house or securities exchange(s)         1.17       Receivable or netitlements against trading of securities in all markets including MtM gains.         1.18       I. Receivable or netitlements against trading of securities in all markets including MtM gains.         1.17       Receivables from customers         I. In case receivables are against margin financing, the aggregate if (1) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut         11.       I. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut         11.       I. Incase of other trade receivables are owerdue, or 5 days or more, the aggregate of (i) the market value.       216,379         0.       216,379	1.12				
1.14       Amounts receivable against Repo financing.       Amounts receivable against Repo financing.         1.15       1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       Image: Comparison of Comployees: Loans are Secured and Due for repayment within 12 months         1.16       Receivables from Clearing house or securities exchange(s)       Image: Comparison of Comployees: Loans are Secured and Due for repayment within 12 months         1.17       Receivables from clearing house or securities exchange(s)       Image: Comparison of Comployees: Loans are Secured and Due for repayment within 12 months         1.18       Receivables from clearing house or securities exchange(s)       Image: Comparison of Comployees: Loans are Secured and Due for repayment within 12 months         1.17       Receivables from clearing house or securities in all markets including of securities in all markets including MM gains.       1.812,057       0       1.812,057         1.17       Receivables are against trading of securities in all markets including MM gains.       1.812,057       0       1.812,057         1.17       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAB based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAB based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, Iii. Inc					
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)         Image: Secure and Due for repayment within 12 months           1.15         i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months         Image: Secure and Due for repayment within 12 months           1.16         Receivables from Clearing house or securities exchange(s)         Image: Secure and Due for repayment within 12 months           1.10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         1,812,057         Image: Secure adaption of the time					
ii. Receivables other than trade receivables       Image: constraint of the section of the sectin of the section of the section of the section of the section of	1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.16       Receivables from clearing house or securities exchange(s)	1.15				
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       1.812,057         ii. Receivables from customers       0         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral applying VAR based haircut, ii. Lower of net balance sheet value or value determined through adjustments.       0         iii. In case receivables are against trading, 5% of the net balance sheet value.       0         iii. Incase receivables are against curities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       0         iii. Net amount after deducting haircut       10       216,379       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircut, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircut, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircut, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircut, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purch	114				
markets including MtM gains.       1812,057         ii. Receivables from customers       1,812,057         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii)         market value of any securities deposited as collateral after applying VAR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. In case receivables are against margin financing, 5% of the net balance sheet value.       ii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iv. Incase of other trade receivables are overdue, of 5 days or more, the aggregate of (i) the market value.       216,379       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities and after applying VAR based haircuts, (ii) cash deposited as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.       0       216,379         1.18       Cash and Bank balances       191,271       0       191,271       0       191,271	1.10				
ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       1,812,057       0       1,812,057         1.17       Receivables from customers             i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.             i. In case receivables are against margin trading, 5% of the net balance sheet value. <td></td> <td></td> <td></td> <td></td> <td></td>					
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332       6,713,852         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332       6,713,852         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332       6,713,852         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332       6,713,852         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332       6,713,852         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market value of securities held as collateral after applying		ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	1,812,057	0	1,812,057
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)       market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       iii. Incase receivables are against margin trading, 5% of the net balance sheet value.         iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, ill. Net amount after deducting haircut       216,379       0       216,379         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       216,379       0       216,375         v. Incase of other trade receivables are outles are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       52,766,332       6,713,852       6,713,852         v. Incase of and bank balances       0       216,379       0       216,375         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       6,713,852       6,713,852         vi. 100% haircut in the case of amount receivable form related parties.       0       1       1         1.88       Bank Balance-proprietory accounts       1       0       191,271       0       191,271	1.17				
i. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Net amount after deducting haircut       iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iv. Balance sheet value       216,379       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       52,766,332       6,713,852         1.18       Cash and Bank balances       191,271       0       191,271         ii. Bank balance-customer accounts       191,271       0       1,449,106		blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
ii. Net amount after deducting haircut       iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut       iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut       iii. Net amount after deducting haircut         v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       216,379       0       216,379         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       52,766,332       6,713,852       6,713,852         v. 100% haircut in the case of amount receivable form related parties.             1.18       Cash and Bank balances       191,271       0       191,271       0       191,271         i. Bank balance-customer accounts       1,449,106       0       1,449,106       0       1,449,106		i. Lower of net balance sheet value or value determined through adjustments.			
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       216,379       0       216,379         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       52,766,332       6,713,852       6,713,852         v. 100% haircut in the case of amount receivable form related parties.             1.18       Cash and Bank balances       191,271       0       191,271       0       191,271         i. Bank balance-customer accounts       1,449,106       0       1,449,106       0       1,449,106					
collateral upon entering into contract,       iii. Net amount after deducting haricut         iii. Net amount after deducting haricut       iv. Balance sheet value.         iv. Balance sheet value       216,379         v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       216,379         v. Balance sheet value       216,379         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332         value of securities purchased for customers and held in sub-accounts after applying VAR based       6,713,852         haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of       52,766,332         securities held as collateral after applying VAR based haircuts.       52,766,332         vi. 100% haircut in the case of amount receivable form related parties.       52,766,332         1.18       Cash and Bank balances       52,766,332         i. Bank Balance-proprietory accounts       191,271       0         ii. Bank balance-customer accounts       191,271       0       1,449,106					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       216,379       0       216,379         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332       6,713,852       6,713,852         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332       6,713,852       6,713,852         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       0       100%         vi. 100% haircut in the case of amount receivable form related parties.       0       0       191,271       0         1.18       Lank Balance-proprietory accounts       191,271       0       191,271       0       194,9106		collateral upon entering into contract,			
iv. Balance sheet value       iv. Balance sheet value         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       52,766,332       6,713,852       6,713,852         value of securities purchased for customers and held in sub-accounts after applying VAR based       52,766,332       6,713,852       6,713,852         haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       vi. 100% haircut in the case of amount receivable form related parties.       vi. 100% haircut in the case of amount receivable form related parties.         1.18       Cash and Bank balances       191,271       0       191,271         ii. Bank balance-proprietory accounts       191,271       0       1,449,106			216 270		216 270
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       52,766,332       6,713,852       6,713,852         vi. 100% haircut in the case of amount receivable form related parties.			210,379	0	210,379
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 191,271 0 191,271 ii. Bank balance-customer accounts 1,449,106 0 1,449,106		v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	52,766,332	6,713,852	6,713,852
securities held as collateral after applying VaR based haircuts.       Image: Collateral after applying VaR based haircuts.         vi. 100% haircut in the case of amount receivable form related parties.       Image: Cash and Bank balances         1.18       Cash and Bank balances       Image: Cash and Bank balance proprietory accounts         ii. Bank balance - customer accounts       191,271       0         1,449,106       0       1,449,106					
vi. 100% haircut in the case of amount receivable form related parties.           1.18       Cash and Bank balances          i. Bank Balance-proprietory accounts       191,271       0       191,271         ii. Bank balance-customer accounts       1,449,106       0       1,449,106					
i. Bank Balance-proprietory accounts         191,271         0         191,271           ii. Bank balance-customer accounts         1,449,106         0         1,449,106		vi. 100% haircut in the case of amount receivable form related parties.			
ii. Bank balance-customer accounts 1,449,106 0 1,449,106	1.18		404 074		404 074
07,231 0 07,231		iii. Cash in hand	67,231	0	67,231

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-20** 

of Mk. Khawaja Securities (Pvt) Ltd

Submission Date 15-SEP-2020 17:10:26

	Submission Date 13-3LF-2020 17.10.20		Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	103,287,372	37,003,892	26,944,852
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	4,781,456	0	4,781,456
2.2	Current Liabilities			.,
	i. Statutory and regulatory dues			
	ii. Accruals and other payables iii. Short-term borrowings	832,139	0	832,139
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation	322,161	0	322,161
	ix. Other liabilities as per accounting principles and included in the financial statements			,
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory reguirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinate Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	in subolumated roans which do not runn the conditions specified by sect			
2.5	Total Liabilites	5,935,756	0	5,935,756
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-20** 

of M/s. Khawaja Securities (Pvt) Ltd

Submission Date 15-SEP-2020 17:10:26

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
v Ir te	n the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received , less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
li fi	Concentrated proprietary positions f the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
i. c ii	Opening Positions in futures and options . In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts i. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10 <u>S</u> i. c <u>c</u> iii s a	Short sell positions . Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts i. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
	Total Ranking Liabilites	0 97,351,616	0 37,003,892	21,009,096